

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: H. William BOSCH et al.

Title: BIOADHESIVE
NANOPARTICULATE
COMPOSITIONS HAVING
CATIONIC SURFACE
STABILIZERS

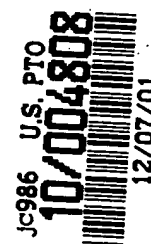
Appl. No.: Not yet assigned

Filing Date: Concurrently

Examiner: Not yet assigned

Art Unit: Not yet assigned

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Applicants submit herewith on Form PTO-1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/414,159, filed 10/08/1999. As provided in 37 CFR §1.98(d), copies of Document Nos. A1-A54 are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application. Copies of Document Nos. A55-A66 are provided herewith.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.



RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date MM Dec 7, 2001

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| Form PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. 029318-0799 | | SERIAL NO. Not yet assigned | | |
|--|-----|--|----------------|--------------------------------------|-------|------------------------------------|----------------------------------|----|
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | APPLICANT H. William BOSCH et al. | | | | |
| | | | | FILING DATE Concurrently | | GROUP ART UNIT Not yet assigned | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE | |
| | A1 | 4,826,689 | May 2, 1989 | Violante et al. | | | | |
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| | | | | | | | YES | NO |
| | A44 | 0 577 215 | 01/94 | Europe | | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | A64 | Improved Oral Absorption of Enteric Coprecipitates of a Poorly Soluble Drug by Kondo et al., <i>Journal of Pharmaceutical Sciences</i> , Vol. 83, No. 4, April 1994, pages 566-570. | | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | | |
| * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant. | | | | | | | | |

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